

**Notice of References Cited**

Application/Control No.

09/919,532

Applicant(s)/Patent Under  
Reexamination  
SCHLOSS ET AL.

Examiner

Olabode Akintola

Art Unit

3691

Page 1 of 2

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	O'Leary, C ("Deutsche enters innovative world of Synthetic CLOs") The investment Dealers' Digest, New York, July 5, 1999
*	V	Oldfield et al (Risk management in financial institutions, Fall 1997)
	W	Anonymous ("A new leaf for rose"), Institutional Investor, New York, Jan 1998
	X	("DCR to Host Conference Call on Credit -Linked Public Sector Notes Issued by Deutsche Hypothekenbank (Actien-Gesellschaft)") PR Newswire, New York, Nov 15, 1999

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

**Notice of References Cited**

Application/Control No.

09/919,532

Applicant(s)/Patent Under  
Reexamination  
SCHLOSS ET AL.

Examiner

Olabode Akintola

Art Unit

3691

Page 2 of 2

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Stone, C et al. ("Synthetic collateralized loan obligations: Risk distillation and redistribution") Financier, Philadelphia: 1999.
	V	Anonymous ("Deutsche in maiden Blue Stripe CLO"), Euroweek, London, Jun 18, 1999.
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.